

Mutual Evaluation Reports
***FATF Recommendations**
****Compliance Ratings - A Comparative Table**

FATF Recommendations	Cook Islands	Australia	USA	Singapore	Samoa	Vanuatu	Isle of Man	Switzerland
R1. Assessing risk, applying risk based approach	LC	PC	PC	LC	PC	C	LC	LC
R2. National cooperation, coordination	C	LC	C	C	LC	C	C	LC
R3. Money Laundering offence	LC	C	LC	LC	LC	C	C	LC
R4. Confiscation, provisional measures	LC	C	LC	C	LC	C	LC	LC
R5. Terrorist Financing offence	LC	C	C	LC	LC	C	C	LC
R6. Targetted financial sanctions-terrorism, terrorist financing	LC	C	LC	LC	PC	C	C	LC
R7. Targetted financial sanctions-proliferation	PC	C	LC	LC	NC	C	LC	C
R8. Non-profit organisations	LC	LC	LC	LC	PC	LC	LC	PC
R9. Financial institution secrecy laws	C	C	C	C	C	LC	C	C
R10. Customer due diligence laws	LC	PC	PC	C	LC	LC	LC	PC
R11. Record keeping	LC	LC	LC	C	C	LC	LC	C
R12. Politically exposed persons	LC	LC	PC	C	PC	LC	LC	LC
R13. Correspondent banking	LC	NC	LC	C	PC	LC	C	LC
R14. Money or value transfer services	C	LC	LC	LC	C	C	LC	C
R15. New technologies	LC	C	LC	C	PC	LC	C	LC
R16. Wire transfers	LC	PC	PC	C	PC	C	C	PC
R17. Reliance on third parties	LC	PC	LC	C	PC	C	LC	LC
R18. Internal control, foreign branches and subsidiaries	LC	PC	LC	C	LC	LC	LC	LC
R19. Higher risk countries	LC	LC	LC	LC	NC	PC	C	PC
R20. Reporting suspicious transactions	LC	C	PC	LC	LC	LC	C	LC
R21. Tipping off, confidentiality	C	C	C	C	C	LC	LC	LC

R22. DNFBPs: Customer Due Diligence	LC	NC	NC	PC	PC	LC	LC	PC
R23. DNFBPs: other measures	LC	NC	NC	PC	PC	LC	PC	PC
R24. Transparency and BOs of legal persons	LC	PC	NC	PC	PC	LC	LC	LC
R25. Transparency and BOs of legal arrangements	LC	NC	PC	PC	PC	LC	PC	LC
R26. Regulation and supervision of Financial Institutions	LC	PC	LC	LC	PC	LC	LC	LC
R27. Powers of supervision	LC	PC	C	C	PC	C	LC	LC
R28. Regulation and supervision of DNFBPs	LC	NC	NC	PC	PC	LC	LC	LC
R29. Financial intelligence units	LC	C	C	C	LC	LC	LC	C
R30. Responsibilities of law enforcement and investigative authorities	LC	C	C	C	C	C	C	C
R31. Powers of law enforcement and investigative authorities	LC	LC	LC	C	LC	C	C	LC
R32. Cash couriers	LC	C	C	C	LC	LC	C	LC
R33. Statistics	LC	LC	LC	LC	LC	LC	C	PC
R34. Guidance and feedback	LC	LC	LC	LC	PC	C	LC	LC
R35. Sanctions	PC	PC	LC	PC	PC	C	LC	PC
R36. International instruments	LC	C	LC	C	PC	C	LC	LC
R37. Mutual legal assistance	LC	C	LC	LC	LC	C	LC	LC
R38. Mutual legal assistance: freezing and confiscation	LC	C	LC	LC	LC	LC	LC	LC
R39. Extradition	C	C	LC	LC	LC	C	C	LC
R40. Other forms of international cooperation	LC	C	C	LC	LC	LC	LC	PC

* The FATF Recommendations set out a comprehensive framework of measures countries should implement in order to combat ML/TF and the proliferation of weapons of mass destruction.

** All ratings are as published on either the FATF or APGML websites at 31 October 2018:

Compliant (C) – there are no shortcomings;

Largely Compliant (LC) – there are only minor shortcomings;

Partially Compliant (PC) – there are moderate shortcomings;

Non-Compliant (NC) – there are major shortcomings.